



Financial Assistance Award

DENALI COMMISSION
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Authority
112 Stat 1854

CFDA Number
90.100

Denali Commission Finance
Officer Certification

CEE

Project Number

0085-DC-2003-I2

Project Title

Legislative Energy Projects

Performance Period

January 24, 2003 to June 30, 2006

Recipient Name & Address

State of Alaska
Alaska Energy Authority
813 W. Northern Lights
Anchorage, AK 99503

Phone: (907) 269-3000

Fax: (907) 269-3044

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
95670000	\$7,950,000	\$5,261,088	\$13,211,088
			\$0
			\$0
			\$0
Total	\$7,950,000	\$5,261,088	\$13,211,088

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☒ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a133/a133.html)

Administrative Requirements (check one)

- ☒ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☐ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations
(www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)

Cost Principles (check one)

- ☒ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations
(www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions
(www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

3/24/03

Signature of Authorized Official

Typed Name and Title

Ronald W. Miller
Executive Director

Date

4/26/03

**Award Conditions to the Financial Assistance Award
Between The Denali Commission and Alaska Energy Authority
For Management of Legislative Energy Projects
March 2003
Project No. 0085-DC-2003-I2**

1. Scope of Work

The Alaska Energy Authority (AEA) will oversee the progress and administer the funding from the Denali Commission (Commission) for the Nome Power Project, Parks Highway Electrical Line Extensions, Port MacKenzie Natural Gas Line Extension, and Calista Power Transmission Engineering and Permitting.

Award funds will be used consistent with Commission Resolutions 03-06, 03-07, 03-08, and 03-09. Commission funding is intended for use for the scope of work identified in the Award document only. AEA may make subgrants to Alaska communities, Regional Native Corporations or utilities to construct the projects.

2. Award Performance Period

The Award performance period is January 24, 2003 through June 30, 2006. This is the period during which Award recipients can incur obligations or costs against this Award. Costs incurred prior to the development of this Award may be considered the participant's contribution to the project.

3. Direct and Indirect Costs

The cost principles of OMB Circular A-87 are applicable to this Award. The direct cost of AEA personnel time devoted to and identified on their timesheets for the performance of the Award, i.e., plan and construct the projects under section 1 of this award, are allowable. In addition, up to 2% of the total costs under this Award are allowable for the direct cost of AEA personnel time devoted to and identified on their timesheets for administration of the Award.

4. Budget and Program Revisions

The administrative requirements of 15 CFR 24.30 apply to this Award. AEA will promptly inform the Commission in writing (e-mail, letter, or report) of any unanticipated project cost overruns, project schedule delays, or changes in the project scope or site conditions.

5. Reporting

Five forms of project reporting, listed below, are required under this Award. The first quarterly reporting period is June 30, 2003. Unless otherwise indicated, reports are due within 30 days of the end of the reporting period.

The Commission reserves the right, at a future date, to direct AEA to provide the progress reports in electronic format for posting on the Commission's web page.

- a. Project status reports shall be submitted to the Commission project officer in the attached format.
- b. A Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) and a final Financial Status report shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Award Performance Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the recipient must submit a completed Standard Form 269 annually within 30 days after the end of each anniversary of the award date.
- c. A Labor Type, Residence, and Wage Report shall be submitted to the Commission project officer at a minimum of two times annually. At a minimum, these two reports must be submitted on or near August 1st and December 1st each year to correspond with the Alaska construction season. A Labor Type, Residence, and Wage Report must included the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date. Personal or confidential information such as Social Security numbers, names of individuals, or other information that would identify an individual should not be included in this report.
- d. Photographic documentation of project progress shall be provided with the quarterly reports for active construction projects. The photo documentation shall include a minimum of five, dated photos per quarter such that a complete record of the construction is maintained over time, from “before,” showing the situation before the start of construction, to “during” showing work proceeding on the project, and “after” to show the finished project. Photos may be provided as photo quality, 3x5 prints with negative for each picture, and/or print quality electronic photos (digital images). A short description of the activity and names of those in the photos shall also be provided.
- e. AEA shall report annually the percentage of total funds (from the Commission and other sources) received used for planning, design and construction of infrastructure facilities, and/or economic development projects. In addition, this annual report will include a breakdown on how these funds were used: force account construction (including local labor wages paid, rural Alaska wages paid, and total project wages paid), and construction contracting. The 4th quarter report for the year can be used as both a quarter and annual summary report (providing both quarterly and annual financial data).

6. Payments

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering federal funds to Award recipients. Your organization must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Project Manager or Finance Manager for further information about registering with the ASAP program. Payments will be made in accordance with 15 CFR 24.21.

7. Award Close Out

- a. The Award closeout must be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. The project closeout process includes the submission of both a final narrative report and financial status report. Recipient organizations must also request any remaining funds for expenditures under this award during this 90-day period. Please refer to the Commission guidance for project closeout for additional details on the requirements. This is available by contacting the Commission office or the Project Manager.
- b. Acknowledgement of support: For all construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The Award recipient shall display a sign that:
 - i. Has the Denali Commission logo displayed on the upper right-hand quadrant;
 - ii. States the following: "This project was financed by the Denali Commission and its partners (*list the name of the funding partners*)";
 - iii. Shows the logo of each partner in the lower right-hand quadrant.

The cost of this sign shall be paid out of the project funding received by the Award recipient from the Denali Commission. Final approval of signage material and placement of sign must be obtained from the Commission Project Manager.

- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. Acknowledgement shall include:
 - i. The Denali Commission logo and the logo of each partner;
 - ii. The following statement: "This project was financed by the Denali Commission and its partners (*list the name of the funding partners*)".

The format for acknowledgement of the Government's support for non-construction awards will vary with each award and must be agreed upon between

the Award recipient and the Denali Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Denali Commission.

8. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

9. Project Officers & Contact Information

AEA project officers are Mr. Peter Crimp for purposes of project design and construction and Ms. Amy McCollum for purposes of financial management. The Commission project officers are Ms. Kathleen Prentki for purposes of project management and Ms. Michelle O'Leary for purposes of financial management.

Denali Commission	Alaska Energy Authority
Ms. Kathleen Prentki 510 "L" Street, Suite 410 Anchorage, AK 99501 Voice – (907) 271-1414 Fax – (907) 271-1415 e-mail: kprentki@denali.gov	Peter Crimp 813 West Northern Lights Blvd Anchorage, AK 99503 (907) 269-4631 (907) 269-3044 pcrimp@aidea.org
Ms. Michelle O'Leary 510 "L" Street, Suite 410 Anchorage, AK 99501 Voice – (907) 271-1767 Fax – (907) 271-1415 e-mail: moleary@denali.gov	Ms. Amy McCollum 813 W. Northern Lights Blvd. Anchorage, AK 99503 Voice – (907) 269-4629 Fax – (907) 269-3044 e-mail: AMcCollum@aidea.org